

09 / 530772

PATENT APPLICATION SERIAL NO.

U. S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
ITS RECORD SHEET

500417 09530772
05/11/2000 WCLAYBRO 00000036 500417 09530772

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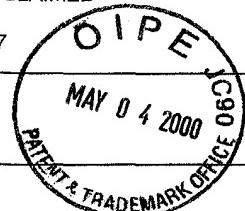
05/11/2000 WCLAYBRO 00000036 500417 09530772
01 FC:970 840.00 CH
02 FC:154 130.00 CH

Adjustment date: 06/27/2000 WCLAYBRO
05/11/2000 WCLAYBRO 00000036 500417 09530772
01 FC:970 840.00 CR

06/27/2000 WCLAYBRO 00000165 500417 09530772

01 FC:960 970.00 CH

FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 50179-080
		U.S. APPLIC. NO. (if known, see 37 CFR 1.5) 09/530772
INTERNATIONAL APPLICATION NO. PCT/AU98/00930	INTERNATIONAL FILING DATE November 6, 1998	PRIORITY DATE CLAIMED November 6, 1997
TITLE OF INVENTION SUICIDE EXPRESSION VECTOR FOR VACCINE STRAINS		
APPLICANT(S) FOR DO/EO/US Himanshu N. BRAHMBHATT, Robert B. SEYMOUR, Peter H. BIRD, and Mark P. BRADLEY		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
4.	<input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5.	<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
7.	<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or information included:		
11.	<input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.	
14.	<input type="checkbox"/> A substitute specification.	
15.	<input type="checkbox"/> A change of power of attorney and/or address letter.	
16.	<input checked="" type="checkbox"/> Other items or information. 1. Copy of Published International Application 2. International Search Report by Australian Patent Office 3. International Preliminary Examination Report	



526 Rec'd PCT/PTO 04 MAY 2000

U.S. APPLIC. NO. (if known, see 37 CFR 1.50)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER														
09/530772		PCT/AU98/00930		50179-080														
				CALCULATIONS	PTO USE ONLY													
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <table> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$840.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$670.00</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$690.00</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td>\$970.00</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</td> <td>\$96.00</td> </tr> </table> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <table> <tr> <td></td> <td style="text-align: right;">\$ 970.00</td> <td></td> </tr> </table>						Search Report has been prepared by the EPO or JPO	\$840.00	International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$670.00	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$690.00	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$970.00	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$96.00		\$ 970.00	
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	\$ 970.00																	
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>				\$ 130.00														
Claims	Number Filed	Number Extra	Rate															
Total Claims	19 -20 =	0	x \$18.00	\$														
Independent Claims	1 -3 =	0	x \$78.00	\$														
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$														
TOTAL OF ABOVE CALCULATIONS =				\$ 1100.00														
<p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p>				\$														
TOTAL NATIONAL FEE =				\$ 1100.00														
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>				+	\$													
TOTAL FEES ENCLOSED =				\$ 1100.00														
				Amount to be: refunded	\$													
				charged	\$													
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.																	
b. <input checked="" type="checkbox"/>	Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>1100.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.																	
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.																	
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																		
SEND ALL CORRESPONDENCE TO:			<table border="1"> <tr> <td>SIGNATURE</td> <td><i>Robert L. Price</i></td> </tr> <tr> <td>NAME</td> <td>Robert L. Price</td> </tr> <tr> <td>22,685</td> <td></td> </tr> <tr> <td>REGISTRATION NUMBER</td> <td></td> </tr> <tr> <td>May 4, 2000</td> <td></td> </tr> <tr> <td>DATE</td> <td></td> </tr> </table>			SIGNATURE	<i>Robert L. Price</i>	NAME	Robert L. Price	22,685		REGISTRATION NUMBER		May 4, 2000		DATE		
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McDERMOTT, WILL & EMERY 600 13 th Street, N.W. Washington, DC 20005-3096 (202) 756-8000 Facsimile (202) 756-8087																		